

An Emulation Approach to Output-Feedback Sampled-Data Synchronization

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Outline

- 1 Introduction
- 2 Preliminaries: the state-feedback case
- 3 How is output feedback different
- 4 The main result
- 5 Concluding remarks

Problem formulation

Agents:

$$\dot{x}_i(t) = Ax_i(t) + Bu_i(t), \quad i \in [1, \dots, \nu]$$

$$y_i(t) = Cx_i(t)$$

with $A \in \mathbb{R}^{n \times n}$, $B \in \mathbb{R}^{n \times m}$, and $C \in \mathbb{R}^{m \times n}$.

Goal: Given $A_0 \in \mathbb{R}^{n \times n}$ with $\text{spec}(A_0) \cap \mathbb{C}_0 = \emptyset$ and semi-simple imaginary eigenvalues, design control signals $u_i(t)$ to synchronize the states $x_i(t)$ with some trajectory generated by $\dot{r}(t) = A_0 r(t)$.

Assumptions:

- \mathcal{A}_1 : (C, A, B) is stabilizable and detectable,
- \mathcal{A}_2 : there is \bar{F} such that $A_0 = A + B\bar{F}$,

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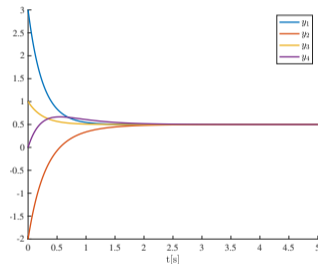
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- When $A_0 = A = 0$ this is the **consensus problem**.

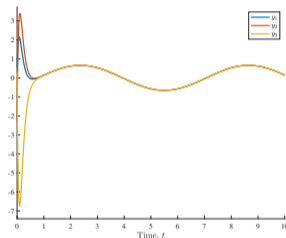


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- When $A_0 = A = 0$ this is the consensus problem.
- When $A_0 = A$ this is the **synchronization problem**.



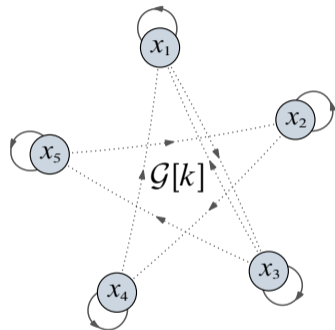
Information constraints

Local information: $y_i(t)$ and $u_i(t)$ are continuously available

Spatial constraints: agents communicate within their neighborhoods, $\mathcal{N}_i(t)$.

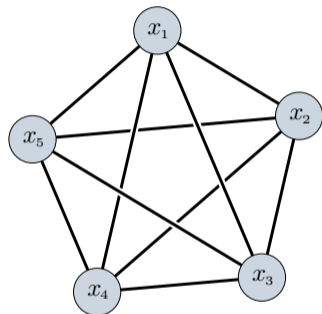
Temporal constraints: communicate only at discrete sampling instances, $t \in \{s_k\}$.

- Aperiodic $s_{k+1} - s_k \neq s_{k+l} - s_{k+l+1}$
- Asynchronous $\mathcal{N}_i(s_k) \neq \emptyset \not\Rightarrow \mathcal{N}_j(s_k) \neq \emptyset$.



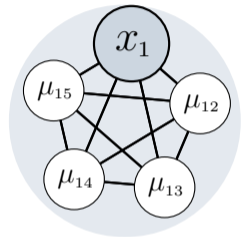
Emulation approach

- 1 Find a "good" controller, an "ideal" agreeing world.



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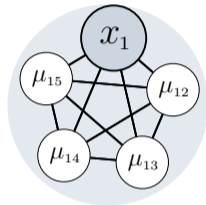
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$$s_k < t \leq s_{k+1}$$

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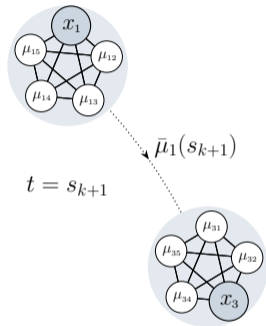
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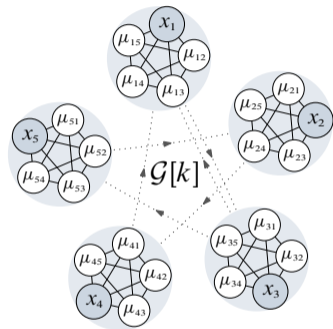
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Each agent operates in its own "perfect world", which interact intermittently.



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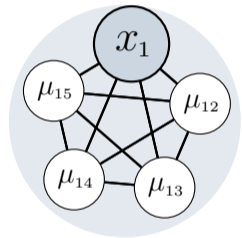
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Emulator with full-state feedback (Barkai, Mirkin, and Zelazo, 2023)

- Each agent locally emulates the *entire* ensemble under the ideal control law,

$$\begin{cases} \dot{\mu}_i(t) = f(\mu_i(t), x_i(t)) \\ u_i(t) = F_d \mu_{ii}(t) + (\bar{F} - F_d) \bar{\mu}_i(t) \\ \mu_{ii}(t) \equiv x_i(t), \quad \bar{\mu}_i(t) = (1/\nu) \sum_{j=1}^{\nu} \mu_{ij}(t) \end{cases}$$

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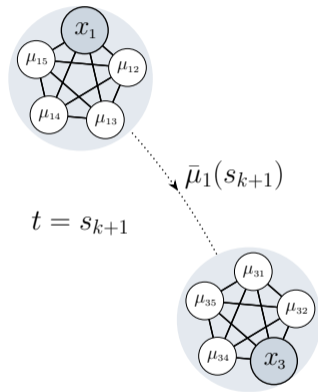
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where $\mu_i(t)$ is the i th agent's emulation of the entire group.

- The agents exchange their **emulated centroids**, and update their emulators according to

$$\mu_i(s_k^+) = \mu_i(s_k) - (\alpha_i \otimes I) \sum_{j \in \mathcal{N}_i[k]} (\bar{\mu}_i(s_k) - \bar{\mu}_j(s_k)),$$

for any $\alpha_i \in \mathbb{R}^\nu$ such that $\mathbf{1}'\alpha_i = 1$ and $e_i'\alpha_i = 0$.



Jump dynamics

State-feedback controller structure (Barkai, Mirkin, and Zelazo, 2023)

Define

$$e(t) = x(t) - \bar{\mu}(t), \quad \mu_{\delta,i}(t) = \mu_i(t) - (\mathbb{1} \otimes I_n)\bar{\mu}_i(t)$$

the aggregate closed loop is given by

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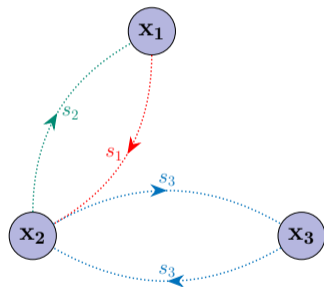
- The flows are decoupled and A_d is Hurwitz.
- Since $\mathcal{L}[k]\mathbb{1} = 0$, if $\bar{\mu}_i$ synchronize then so would x_i and $\mu_{\delta} \rightarrow 0$.

Assumption: persistency of eventual connectivity

- \mathcal{A}_3 : there is a strictly increasing sub-sequence of sampling indices $\{k_p\}$ such that for all $p \in \mathbb{Z}_+$
 - 1 the intervals $s_{k_{p+1}} - s_{k_p}$ are uniformly bounded;
 - 2 $\bigcup_{k=k_p+1}^{k_{p+1}} \mathcal{G}[k]$ contains a directed rooted tree.

$$\bigcup_{k=k_0+1}^{k_1} \mathcal{G}[k] = \mathcal{G}[s_1] \cup \mathcal{G}[s_2] \cup \mathcal{G}[s_3]$$

- A common assumption in coordination under switching graphs, e.g., (Ren and Beard, 2008).

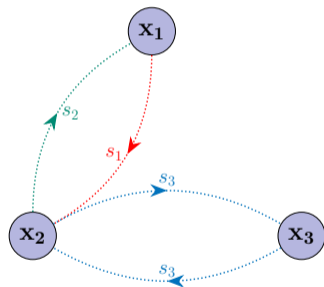


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If \mathcal{A}_3 holds then $\bar{\mu}(t) \rightarrow \mathbb{1} \otimes e^{A_0 t} r_0$ for some r_0 .

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What changes for output feedback?

- $x_i(t)$ is no longer available $\implies \mu_{ii}(t) \neq x_i(t)$.
- Using an observer, we have that $\mu_{ii}(t) \equiv \hat{x}_i(t)$ for some

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- Hence, $\mu_\delta \equiv 0 \implies$ We can directly emulate $\bar{\mu}_i$.
- We retain the same control-law structure

$$u_i(t) = F_d \hat{x}_i(t) + (\bar{F} - F_d) \bar{\mu}_i(t),$$

but now

$$\bar{\mu}_i \neq (1/v) \left(\sum_{j=1, j \neq i}^v \mu_{ij}(t) + \hat{x}_i(t) \right)$$

but rather an **independent** variable.

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The main result

Theorem

If F_d and L are such that $A + BF_d$ and $A + LC$ are Hurwitz and \bar{F} is such that $A_0 = A + B\bar{F}$, then the local sampled-data controllers

$$\begin{cases} \dot{\hat{x}}_i(t) = A\hat{x}_i(t) + Bu_i(t) - L(y_i(t) - C\hat{x}_i(t)) \\ \dot{\bar{\mu}}_i(t) = A_0\bar{\mu}_i(t) \\ \bar{\mu}_i(s_k^+) = \bar{\mu}_i(s_k) - \frac{1}{\nu} \sum_{j \in \mathcal{N}_i[k]} (\bar{\mu}_i(s_k) - \bar{\mu}_j(s_k)) \\ u_i(t) = F_d\hat{x}_i(t) + (\bar{F} - F_d)\bar{\mu}_i(t) \end{cases}$$

will asymptotically synchronize the agents for all initial conditions and all sampling sequences $\{s_k\}$ satisfying \mathcal{A}_3 .

Proof outline - error dynamics

Once more defining

$$e := x - \bar{\mu}, \quad \text{and} \quad \epsilon := x - \hat{x}$$

yields the now decoupled *stable* flow dynamics

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Numerical example (I)

Consider $\nu = 3$ agents with

$$\left[\begin{array}{c|c} \mathbf{A} & \mathbf{B} \\ \hline \mathbf{C} & \end{array} \right] = \left[\begin{array}{cc|c} 4 & 9 & 2 \\ 1 & 4 & 1 \\ \hline 1 & 0 & \end{array} \right], \quad \mathbf{A}_0 = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$$

and gains

$$\bar{F} = - \begin{bmatrix} 2 & 4 \end{bmatrix}, \quad F_d = - \begin{bmatrix} 7 & 1 \end{bmatrix}, \quad \text{and} \quad L = - \begin{bmatrix} 19 \\ 11 \end{bmatrix}.$$

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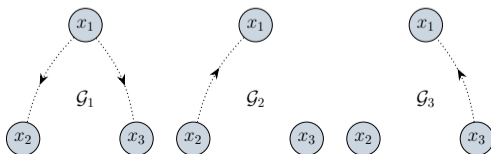
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$$\left[\begin{array}{c|c} A & B \\ \hline C & - \end{array} \right] = \left[\begin{array}{cc|c} 4 & 9 & 2 \\ 1 & 4 & 1 \\ \hline 1 & 0 & - \end{array} \right], \quad A_0 = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$$

and gains

$$\bar{F} = - \begin{bmatrix} 2 & 4 \end{bmatrix}, \quad F_d = - \begin{bmatrix} 7 & 1 \end{bmatrix}, \quad \text{and} \quad L = - \begin{bmatrix} 19 \\ 11 \end{bmatrix}.$$

- The sampling sequence is randomized between 3 graphs and satisfy \mathcal{A}_3 , with $s_{k+1} - s_k \in [0.45, 2.25]$ [sec]



Numerical example (II)

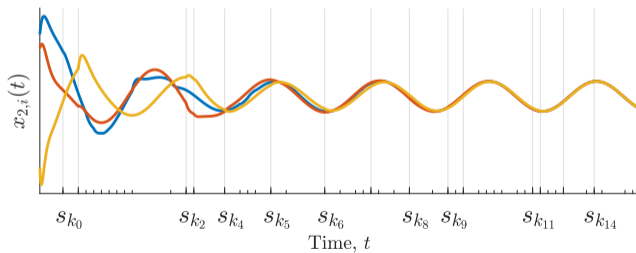
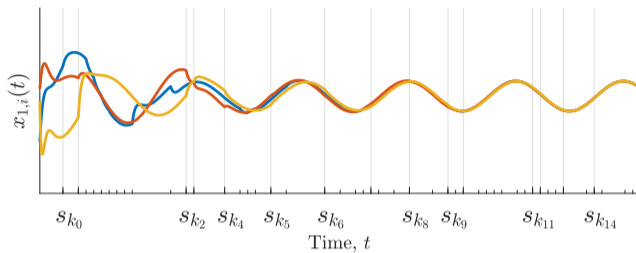


Figure: States' trajectories

Numerical example (II)

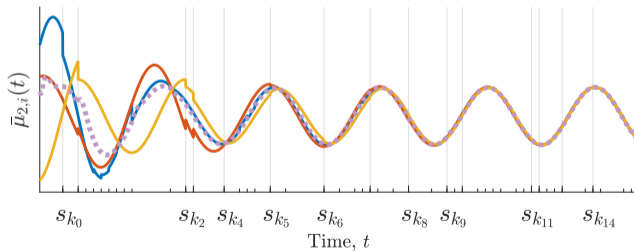
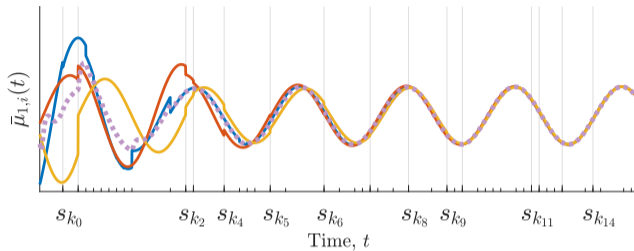


Figure: Centroid's trajectories

Numerical example (II)

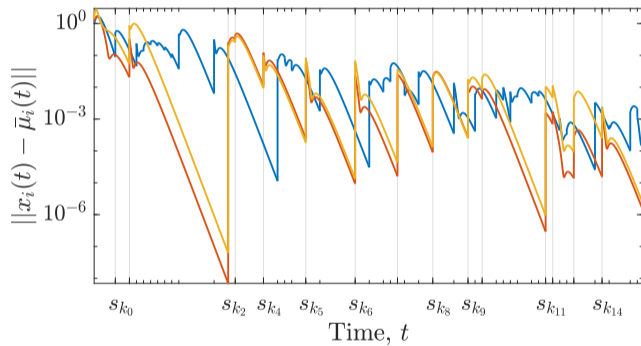


Figure: $\|e_i(t)\|$ (log scale)

Numerical example (II)

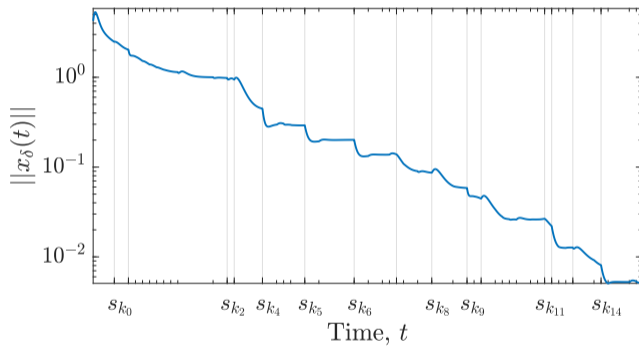


Figure: $\|x_\delta(t)\|$ (log scale)

Outline

- 1 Introduction
- 2 Preliminaries: the state-feedback case
- 3 How is output feedback different
- 4 The main result
- 5 Concluding remarks**

Concluding remarks

- State synchronization is guaranteed under weak assumptions despite (almost) arbitrary sampling and output measurements.
- The gains design is intuitive, non-restrictive, and independent of the sampling sequence.
- If the union graph is *strongly* connected the convergence is exponential (in the paper).
- Low-order controller with scaleable design.
- Work in progress: output synchronization, heterogeneous agents, transmission delays, performance guarantees.